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Date: January 10, 2003 Label No. EV147719557US

I hereby certify that, on the date indicated above, I deposited this paper with identified attachments and/or fee with the U.S. Postal Service and that it was addressed for delivery to the Assistant Commissioner for Patents, Washington, DC 20231 by "Express Mail Post Office to Addressee" service.

Ashley Smith  
Name (Print)

Signature

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ralph P. Thompson

Application No.: 09/995,888

Filed: November 28, 2001

For: FILTER CARTRIDGE ASSEMBLIES AND METHODS FOR FILTERING FLUIDS

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Group Art Unit: 1724

Examiner: Unassigned

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 CFR 1.97(c)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

January 10, 2003

Sir:

The attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached Form PTO-1449. One copy of each of these documents is attached.

This Supplemental Information Disclosure Statement is being submitted after expiration of the three-month period following filing of the above-captioned application but before issuance of an Office Action on the merits and before any Final Office Action or Notice of Allowance.

The above information is presented so that the Patent and Trademark Office can, in the first instance, determine any materiality thereof to the claimed invention. *See* 37 CFR 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the documents cited in the attached Form PTO-1449 be made of record therein and appear on the first page of any patent to issue therefrom.

This submission does not represent that a search has been made or that no better art exists

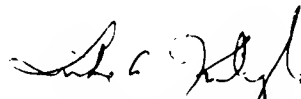
Information Disclosure Statement  
U.S. Patent Application No. 09/995,888

and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in this application and applicant determines that the cited documents do not constitute "prior art" under United States law, applicant reserves the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

It is believed that no fee is required to make this a complete and timely filing. However, if it is determined that a petition or fee is required, the Commissioner is hereby authorized to charge any fee associated with this statement to our Deposit Account No. 50-0925.

Respectfully submitted,



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Atty. Docket No.: 3192-001  
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Enclosures: PTO-1449, w/55 Documents

FORM PTO-1449 (REV 7-80)				Atty. Docket No. 3192-001		Application No. 09/995,888	
<b>INFORMATION DISCLOSURE STATEMENT</b>				APPLICANT: Ralph P. Thompson			
				Filing Date: November 28, 2001		Group Art Unit: 1724	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER'S INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE, IF APPROPRIATE
		3,520,298	07/14/70	K. Lange	128	213	
		3,545,438	02/12/68	James H. De Vries	128	213	
		3,669,880	06/13/72	Marantz et al.	210	22	
		3,685,680	08/22/72	Tenckhoff et al.	220	27	
		3,850,835	11/26/74	Marantz et al.	252	182	
		3,888,250	06/10/75	Hill	128	214	
		3,939,069	02/17/76	Granger et al.	210	22	
		3,989,622	11/02/76	Marantz et al.	210	22	
		4,088,456	05/09/78	Giorgi et al.	55	179	
		4,190,047	02/26/80	Jacobsen et al.	128	213	
		4,192,748	03/11/80	Hyden	210	87	
		4,412,917	11/01/83	Ahjopalo	210	104	
		4,473,449	09/25/84	Michaels et al.	204	101	
		4,474,853	10/02/84	Watanabe	428	403	
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		4,650,587	03/17/87	Polak et al.	210	638	
		4,680,122	01/14/87	Barone	210	637	
		4,765,907	08/23/88	Scott	210	648	
		5,004,459	04/02/91	Peabody et al.	604	29	
		5,034,124	07/23/91	Kopf	210	231	
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## FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	EP000152717A1	08/28/85	Europe	604	29	Abstract
	FR2585251	01/30/87	France	A61M	1/34F	Abstract
	08187284	07/23/96	Japan	A61M	1/14	Abstract

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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EXAMINER	DATE CONSIDERED
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

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